ie aplus sign (+) inside this box Π PTO/SB/21 (6-99) Approved for use through 09/30/2000. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 2004 der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. RADEMAN RADEMAN 10/750,262 **Application Number** December 31, 2003 **TRANSMITTAL** Filing Date Afar, et al. **FORM** First Named Inventor (to be used for all correspondence after initial filing) 1645 Group/Art Unit Unassigned **Examiner Name** Total Number of Pages in This Submission 39766-0160D2 Attorney Docket Number ENCLOSURES (check all that apply) Statement under 37 CFR 1.821(e),(f) After Allowance Communication Fee Transmittal Form and (g) w/Request to use Computer to Group Readable Form of Sequence Listing Fee Attached from Parent Application Appeal Communication to Board Amendment / Response Drawing(s) of Appeals and Interferences Appeal Communication to Group After Final Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Version with Markings Showing Petition Routing Slip (PTO/SB/69) **Proprietary Information** Changes and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Extension of Time Request Power of Attorney, Revocation Additional Enclosure(s) M (please identify below): Change of Correspondence Address Information Disclosure Statement stamped return postcard Terminal Disclaimer Certified Copy of Priority Small Entity Statement Document(s) Request for Refund Response to Missing Parts/ Incomplete Application Remarks Notice to Comply with Requirements for Patent Application AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN **Containing Nucleotide Sequence** CONNECTION WITH THIS PAPER (Atty. Docket No. 39766-0160D2). and/or Amino Acid Sequence Disclosures SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm GINGER R. DREGER, ESQ., Reg. No. 33,055, HELLER EHRMAN WHITE & McAULIFFE LLP Individual name Signature

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
20231, on this date:

Express Mail Label EL 993 689 236 US

Typed or printed name

Cheryl Rogers

Signature

Date October 6, 2004

Customer Number:

25213

Date

October 6, 2004

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop ____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Afar et al.

Docket No:

39766-0160D2

lo.

10/750,262

Group Art Unit:

1645

Filed:

December 31, 2003

Examiner:

Unassigned

For:

NOVEL SERPENTINE TRANSMEMBRANE ANTIGENS

EXPRESSED IN HUMAN CANCERS AND USES THEREOF

Express Mail Label No.: EL 993 689 236 US

Mailing Date: October 6, 2004

STATEMENT UNDER 37 C.F.R. §1.821 (e), (f) and (g) WITH REQUEST TO USE COMPUTER READABLE FORM OF SEQUENCE LISTING FROM PARENT APPLICATION

MS: MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The present application is a divisional of currently pending patent application U.S. Serial No. 10/011,095, filed December 6, 2001, which is a divisional of an issued U.S. application with Serial No. 09/323,873, filed on June 1, 1999. A paper copy and a computer readable form (CRF) copy of the sequence listing was filed in Serial No. 09/323,873 on December 22, 1999. The sequence listing was appended to the specification in a Preliminary Amendment filed on May 15, 2000. Applicants submit that the sequence listings of the instant divisional patent application and that of the parent application of Serial No. 09/323,873 are identical. Therefore, pursuant to 37 CFR 1.821(e), Applicants respectfully request that the compliant computer readable form (CRF) of the Sequence listing filed on December 22, 1999 in the parent application Serial No. 09/323,873 be used as the computer readable form for the instant patent application.

Applicants' representative further states, as required by 37 C.F.R. §1.821(f), that the content of the CRF sequence listing from parent application Serial No. 09/323,873, is identical to the written Sequence Listing paper copy of the instant application filed June 1, 2004 in accordance with 37 C.F.R. 1.821 (c).

I hereby also state that this submission, filed in accordance with 37 C.F.R. §1.821(g), herein does not include new matter.

The Commissioner is authorized to charge any fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. (Attorney Docket No. 39766-0160 D2).

Respectfully submitted,

Date: October 6, 2004

Reg. No. 33,055

HELLER EHRMAN WHITE & McAULIFFE LLP

Customer No. 25213 275 Middlefield Road Menlo Park, California 94025 Telephone: (650) 324-7000

Facsimile: (650) 324-0638

SV 2068203 v1 10/5/04 3:57 PM (39766.0160C2)

+6509529882

T-166 P.03/07

10/08/04

TENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United Statue Parent and Tradument Offices
Address COMMISSIONER FOR PATENTS
NO BOX 1430
Alexandr. Wigner 13113 1430
FOR PARENTS

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTURNEY DOCKET NUMBER

10/750,262

12/31/2003

Daniel E. Afar

511582001611

CONFIRMATION NO. 1744

25225 MORRISON & FOERSTER LLP 3811 VALLEY CENTRE DRIVE SUITE 500 SAN DIEGO, CA 92130-2332

FORMALITIES LETTER OC000000013511380*

Date Mailed: 08/12/2004

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be optained under the provisions of 37 CFR 1.136(a)

 This application clearly fails to comply with the requirements of 37 CFR, 1 821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998) If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1 821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice \underline{MUST} be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE